



011204

13281 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="padding: 2px;">Attorney Docket No.</td><td style="padding: 2px;">520.37552CC2</td></tr><tr><td style="padding: 2px;">First Inventor</td><td style="padding: 2px;">ONO, TETSUO</td></tr><tr><td style="padding: 2px;">Title</td><td style="padding: 2px;">A SURFACE PROCESSING METHOD OF A SPECIMEN AND A SURFACE PROCESSING APPARATUS OF THE SPECIMEN</td></tr><tr><td style="padding: 2px;">Express Mail Label No.</td><td style="padding: 2px;"></td></tr></table>	Attorney Docket No.	520.37552CC2	First Inventor	ONO, TETSUO	Title	A SURFACE PROCESSING METHOD OF A SPECIMEN AND A SURFACE PROCESSING APPARATUS OF THE SPECIMEN	Express Mail Label No.																							
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APPLICATION ELEMENTS <i>SEE MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450																														
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<div>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 10/135,516</div> <div>Prior application information: Examiner: K. Chen Art Unit: 1765</div> <div>For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.</div>																																
<div>19. CORRESPONDENCE ADDRESS</div> <div><input checked="" type="checkbox"/> Customer Number 020457 OR <input type="checkbox"/> Correspondence address below</div> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="5" style="padding: 2px;">Name ANTONELLI, TERRY, STOUT & KRAUS, LLP</td></tr><tr><td colspan="5" style="padding: 2px;">Address</td></tr><tr><td style="padding: 2px;">City</td><td style="padding: 2px;">State</td><td style="padding: 2px;">Zip Code</td><td colspan="2"></td></tr><tr><td style="padding: 2px;">Country</td><td style="padding: 2px;">Telephone</td><td style="padding: 2px;">(703) 312-6600</td><td style="padding: 2px;">Fax</td><td style="padding: 2px;">(703) 312-6666</td></tr><tr><td style="padding: 2px;">Name (Print/Type)</td><td colspan="2" style="padding: 2px;">Registration No. (Attorney/Agent)</td><td colspan="2" style="padding: 2px;">22,466</td></tr><tr><td style="padding: 2px;">Signature</td><td colspan="2" style="padding: 2px;">Date</td><td colspan="2" style="padding: 2px;">January 12, 2004</td></tr></table>			Name ANTONELLI, TERRY, STOUT & KRAUS, LLP					Address					City	State	Zip Code			Country	Telephone	(703) 312-6600	Fax	(703) 312-6666	Name (Print/Type)	Registration No. (Attorney/Agent)		22,466		Signature	Date		January 12, 2004	
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This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)

Application Number

Filing Date

January 12, 2004

First Named Inventor

ONO, TETSUO

Examiner Name

Art Unit

Attorney Docket No.

520.37552CC2

Complete if Known

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

01-2135

Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385			Utility filing fee	770
1002	340	2002	170			Design filing fee	
1003	530	2003	265			Plant filing fee	
1004	770	2004	385			Reissue filing fee	
1005	160	2005	80			Provisional filing fee	
SUBTOTAL (1)							770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	4	-20** = 0	x 18 = 0
Indep. Claims	1	-3** = 0	x 86 = 0
Multiple Dependent			= 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9			Claims in excess of 20
1201	86	2201	43			Independent claims in excess of 3
1203	290	2203	145			Multiple dependent claim, if not paid
1204	86	2204	43			** Reissue independent claims over original patent
1205	18	2205	9			** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$0						

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examination action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	420	2252	210	Extension for reply within second month
1253	950	2253	475	Extension for reply within third month
1254	1,480	2254	740	Extension for reply within fourth month
1255	2,010	2255	1,005	Extension for reply within fifth month
1401	330	2401	165	Notice of Appeal
1402	330	2402	165	Filing a brief in support of an appeal
1403	290	2403	145	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,330	2453	665	Petition to revive - unintentional
1501	1,330	2501	665	Utility issue fee (or reissue)
1502	480	2502	240	Design issue fee
1503	640	2503	320	Plant issue fee
1406	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))
1801	770	2801	385	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application

Other fee (specify) _____

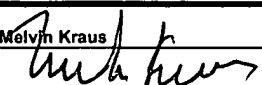
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Melvin Kraus	Registration No. (Attorney/Agent)	22,466	Telephone	703-312-6600
Signature		Date	01/12/2004		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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